

November, 2019

## CURRICULUM VITAE: IAN SHAPIRO

The Whitney and Betty MacMillan Center  
for International and Area Studies at Yale  
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### EMPLOYMENT

#### **Yale University, Department of Political Science**

Assistant Professor, 1984; Associate Professor, 1988; Professor, 1992  
William R. Kenan, Jr., Professor of Political Science, 2000  
Sterling Professor of Political Science, 2005  
Chairman, January 1999 – June 2004

#### **Other Yale appointments**

Henry R. Luce Director, The MacMillan Center, 2004-  
Director, Program in Ethics, Politics, and Economics, 1992-98; 2000-01  
Professor, Institution for Social and Policy Studies, 1992-  
Professor, Yale School of Management, 2013 - 2018  
Professor (Adjunct) Yale Law School, 2004-

### PERSONAL INFORMATION

Born September 29, 1956, Johannesburg, South Africa. Dual U.S. and South African citizen  
Two children: Xan (b. 1986) and Yani (b. 1987)

### QUALIFICATIONS

J. D. Yale Law School 1987  
Ph.D., with distinction, Yale University (Political Science) 1983  
M. Phil., Yale University (Political Science) 1980  
B.Sc. (Hons.) Bristol University, U.K. (Philosophy & Politics) 1978

### INTELECTUAL BIOGRAPY

David Switzer and Elizabeth Ellis, "Ian Shapiro," *The Encyclopedia of Political Thought*, ed.  
by Michael Gibbons (John Wiley & Sons, 2015)  
<http://shapiro.macmillan.yale.edu/sites/default/files/files/Shapirointellectualbiography.pdf>

## PRINCIPAL PUBLICATIONS

### Books

*The Wolf at the Door: The Menace of Economic Insecurity and How to Fight It*, with Michael Graetz (Harvard University Press, forthcoming 2020).

*Responsible Parties: Saving Democracy from Itself*, with Frances Rosenbluth (Yale University Press, September 2018)

- Korean translation: Humanitas, forthcoming 2019.
- Norwegian translation: Dreyer, forthcoming 2020.

*Politics Against Domination*. (Belknap Press, April 2016).

- Russian translation: Praxis, Moscow, 2019.

Robert Dahl, *On Democracy*. 2<sup>nd</sup> edition. I wrote a preface plus two additional chapters for this book (Yale University Press, 2015)

*The Real World of Democratic Theory* (Princeton University Press, 2011)

- Czech translation: Karolinum Publishing House, Prague 2012
- Spanish translation: Marcial Pons, Madrid, 2012
- Chinese translation (simple characters): Tianjin People's Publishing House, 2016

*Containment: Rebuilding a Strategy against Global Terror* (Princeton University Press, 2007; paperback, 2008)

- Hebrew translation: Carmel Publishing, Tel Aviv 2007
- Czech translation: Karolinum Publishing House, Prague 2008
- Arabic translation: All Prints, Lebanon, 2013

*The Flight From Reality in the Human Sciences* (Princeton University Press, 2005)

- Czech translation: Karolinum Publishing House, Prague 2006
- Russian translation: State University Higher School of Economics, Moscow 2011
- Korean translation: In-Gan-Sa-Rang Publishing Co, Seoul 2016

*Death by a Thousand Cuts: The Fight Over Taxing Inherited Wealth*, with Michael Graetz. (Princeton University Press, 2005; reprinted, 2006 with new Epilogue)

*The State of Democratic Theory* (Princeton University Press, 2003; reprinted 2004, 2006)

- Chinese translation (complex characters): Business Week Publishers, 2005
- Chinese translation (simple characters): China Renmin University Press, 2013
- Spanish translation: Ediciones Bellaterra, Barcelona, 2005
- Polish translation: PWN Polish Scientific Publishers, Warsaw, 2006
- Japanese translation: Keio University Press

*The Moral Foundations of Politics* (Yale University Press, 2003; reprinted 2004, 2005, 2009, 2012)

- Czech translation: Karolinum Publishing House, Prague 2003
- Chinese translation: Shanghai Renmin Publishing, 2006

- Russian translation: Izdatel'stvo KDU Moscow, 2005
- Indonesian translation: Freedom Institute, 2006
- Portuguese translation: Livraria Martins Fontes Editora Ltda, 2006
- English language reprint edition in South Asia (India, Pakistan, Sri Lanka, Bhutan, Bangladesh, Nepal and the Maldives: Aakar Books, 2005)
- Spanish translation: Colegio de Mexico, Mexico City, 2007
- Burmese translation: NED, Rangoon, 2013
- Korean translation: Munhak Donge, 2018
- Thai translation of chapter 7 in Ukrit Patnoi, ed., *Philosophy and the Future of Democracy* (Bangkok: Kobfai Publishing Project, 2014), pp. 43-104.
- Azerbaijani translation: Kitabistan Baku, forthcoming 2020.

*Democratic Justice* (Yale University Press, 1999; reprinted 2001, 2003, 2009. Published on line by netLibrary, 2001)

- Chinese translation Jiangsu People's Publishing House, Beijing.

*Democracy's Place* (Cornell University Press, 1996; reprinted 2000)

*Pathologies of Rational Choice Theory: A Critique of Applications in Political Science*, with Donald Green. (Yale University Press, 1994; reprinted 1995, 1996, 2000, 2003, 2004, 2005)

- German translation: Oldenbourg Verlag, 2000
- Chinese translation: Oxford University Press, 2000

*Political Criticism* (University of California Press, 1990; reprinted 1992)

*The Evolution of Rights in Liberal Theory* (Cambridge University Press, 1986; reprinted 1988, 1994, 2009)

- Indonesian translation: The Freedom Institute, 2006

## Edited Books

*The Bretton Woods Agreement*, co-edited with Naomi Lamaroux with an introduction and interpretive essays (Yale University Press, 2019)

*The NATO Charter*, co-edited with Adam Tooze with an introduction and interpretive essays. (Yale University Press, 2018)

*Readings on The Moral Foundations of Politics*. (University Readers, 2016)

*The United Nations Charter*, coedited with Joseph Lampert with an introduction and interpretative essays (Yale University Press, 2014)

*Selected Writings of Thomas Paine*, co-edited with Jane Calvert (Yale University Press, 2014)

*After Apartheid: Reinventing South Africa*, co-edited with Khareem Tebeau (University of Virginia Press, 2011)

Thomas Hobbes, *Leviathan*, edited with an introduction and interpretive essays (Yale University Press, 2010).

*The Federalist Papers*, Alexander Hamilton, James Madison, and John Jay, edited with an introduction and interpretive essays (Yale University Press, 2009).

*Political Representation*, co-edited with Susan Stokes, Elisabeth Wood, and Alexander Kirschner (Cambridge University Press, 2009)

*Divide and Deal: The Politics of Distribution in Democracies*, co-edited with Peter Swenson and Daniela Donno (New York University Press, 2008).

*Order, Conflict, and Violence*, co-edited with Stathis Kalyvas and Tarek Masoud (Cambridge University Press, 2008).

- Chinese translation: Beijing Pheonix World Publishing Co. 2013

*Political Contingency: Studying the Unexpected, the Accidental, and the Unforeseen*, co-edited with Sonu Bedi (New York University Press, 2007; paperback, 2009).

*Identities, Affiliations, and Allegiances*, co-edited with Seyla Benhabib and Danilo Petranovich (Cambridge University Press, 2007, reprinted 2008)

*Rethinking Political Institutions: The Art of the State*, co-edited with Stephen Skowronek and Daniel Galvin (New York University Press, 2006; paperback, 2007)

*Problems and Methods in the Study of Politics*, co-edited with Rogers Smith and Tarek Masoud (Cambridge University Press, 2004)

*The Democracy Sourcebook*, co-edited with Robert Dahl and José Cheibub (MIT Press, 2003)

- Russian translation The Centre for Post-Industrial Studies, Moscow (2006)
- Arabic translation forthcoming from the Institute for Religion, Culture, and Public Life, Columbia University.

John Locke, *A Letter Concerning Toleration and Two Treatises of Government*, edited with an introduction and interpretive essays (Yale University Press, 2003; reprinted 2004, 2009)

*NOMOS XLII: Designing Democratic Institutions*, with Steven Macedo (New York University Press, 2000)

*Democracy's Value*, with Casiano Hacker-Cordón (Cambridge University Press, 1999; reprinted 2001)

- Chinese translation: Beijing Pheonix World Publishing Co. 2013

*Democracy's Edges*, with Casiano Hacker-Cordón (Cambridge University Press, 1999; reprinted 2001)

- Chinese translation: Beijing Pheonix World Publishing Co. 2013

*NOMOS XLI: Global Justice*, with Lea Brilmayer (New York University Press, 1999)

*NOMOS XL: Integrity and Conscience*, with Robert Adams (New York University Press, 1998)

*NOMOS IXL: Ethnicity and Group Rights*, with Will Kymlicka (New York University Press 1997)

*NOMOS XXXVIII: Political Order*, with Russell Hardin (New York University Press, 1996)

*NOMOS XXXVII: Theory and Practice*, with Judith Wagner De Cew (New York University Press, 1995)

*Abortion: The Supreme Court Decisions* (Hackett 1995; second edition 2001; third edition 2007)

*NOMOS XXXVI: The Rule of Law* (New York University Press, 1994)

John Dewey, *The Political Writings*, with Debra Morris (Hackett, 1993; reprinted 1999)

*NOMOS XXXV: Democratic Community*, with John Chapman, (New York University Press, 1993)

*Power, Inequality, and Democratic Politics*, with Grant Reeher, (Westview Press, 1988)

## Selected Articles

Christian Salas, Frances Rosenbluth, and Ian Shapiro, “Political parties and public policy,” *NOMOS LXIII: Democratic Failure*, edited by Melissa Schwartzberg and Daniel Viehoff (New York, NYU press, forthcoming 2020).

Directing democracy,” with Frances Rosenbluth, *The Yale Review*, Vol. 105, No. 3 July 2017, pp. 26-35.

“Negative liberty and the Cold War,” with Alicia Steinmetz, to appear in Steven Smith and Joshua Cherniss, eds., *The Cambridge Companion to Isaiah Berlin* (Cambridge University Press, 2018).

“Collusion in restraint of democracy: Against political deliberation,” *Daedalus* Vol. 146, No. 3 (Summer 2017), pp. 77-84. Reprinted in Isaac Kramnick and Theodore J. Lowi, eds., *American Political Thought: A Norton Anthology*, 2<sup>nd</sup> Ed. (W.W. Horton, 2018), pp. 1535-44.

“Against Impartiality.” *Journal of Politics*, Vol. 78, No. 2 (April 2016), pp. 467-80.

“Transforming Power Relationships: Leadership, Risk, and Hope,” with James Read, *American Political Science Review*, Vol. 108, No. 1 (February 2014), pp. 40-53.

- Spanish translation, “El poder de la esperanza: el liderazgo estratégico y los arreglos democráticos de paz,” *Revista Española de Ciencia Política*, Núm. 35 (Julio 2014), pp. 57-89.
- Norwegian translation in Øyvind Østerud, ed *Politikk I Grenselan* (Oslo: Universitetsforlaget, 2014), pp. 165-88.

“Methods are like people: If you focus only on what they cannot do, you will always be disappointed,” in Dawn Langan Teele, ed, *Field Experiments and their Critics: Essays on the*

*Uses and Abuses of Experimentation in the Social Sciences* (Yale University Press, 2014), pp. 228-41.

“Judgment and democratic leadership,” in John Kane and Haig Patapan, eds, *Good Democratic Leadership: On Prudence and Judgment in Modern Democracies* (Oxford University Press, 2014), pp. 128-38.

“Democracy Between Elections,” Adam Przeworski, ed, *Democracy in the Russian Mirror* (Cambridge University Press, 2015), pp. 173-83.

- Russian translation in А.М.Мигранян, А.Пшеворский *Демократия в российском зеркале: монография /редакторы-составители* (МГИМО, 2014).

“Still Speaking in a Judicial Voice: Ruth Bader Ginsburg Two Decades Later,” *Yale Law Journal*, Online Vol. 122 (2013).

“On non-domination,” *University of Toronto Law Journal*, Vol. 62, No. 3 (2012), pp. 293-336.

- Russian translation: *Logos*, Vol 4 [88] 2012, pp. 3-35.
- Spanish translation: *Doxa*, Vol. 35 (2012), pp. 589-628.
- Korean translation: *The Journal of Political Criticism*, Vol 12 (May 2013).

Review essay of *The Idea of Justice*, by Amartya Sen, *Journal of Economic Literature*, Vol. XLIX (December 2011), pp. 1251-63.

“The social sciences and the financial crisis: Reflections on recent trends,” (in English and Korean), *Journal of Political Criticism*, Vol. 8, No. 5 (May 2011), pp. 5-36.

“Containment and Democratic Cosmopolitanism,” *Moscow State University Bulletin. International Relations and World Politics*. No.1 (January-March), 2011, pp. 3-31.

“Reductionism in the human sciences: A philosopher’s game,” (with Robert G. Schulman),” in C. Mantzavinos, ed., *Philosophy of the Social Sciences: Philosophical Theory and Scientific Practice* (Cambridge University Press, 2009), pp. 124-30.

“Reflections on Skinner and Pettit,” *Hobbes Studies*, Volume 22, No. 2 (2009), pp. 185-191(7).

“A global response to terrorism,” *International Spectator*, Vol. 32, No. 3 (September 2008), pp. 27-34. Reprinted in *Advance*, Vol. 2, No. 2 (Fall 2008), pp. 37-42.

“Contención y cosmopolitismo democrático,” *Foro Internacional* 193, Vol. XLVIII-3, julio-septiembre de 2008.

“Tyranny and Democracy: Reflections on Some Recent Literature,” *Government and Opposition*, Vol. 43, No. 3 (Summer 2008).

“A better strategy against terror,” *Yale Alumni Magazine* (March/April 2007), pp. 30-33.

Contributed to symposium on “Understanding suicide terror,” *Perspectives on Politics*, Vol. 5, No. 1 (March 2007), pp. 133-36.

“Public opinion and the push to repeal the estate tax,” with Mayling Birney and Michael Graetz, *National Tax Journal*, Vol. LIX, No. 3 (September 2006), pp. 439-62.

“On the second edition of Lukes’s third face,” *Political Studies Review*, Vol. 4 (2006), pp. 146-55.

“Notes on the political psychology of redistribution,” *Social Research* Vol. 73, No. 2 (Summer 2006), pp. 607-18.

“The Flight From Reality: A response to Mackie, Hochschild, and Laitin,” *Qualitative Methods* (Fall 2005), pp. 15-18.

“The State of Democratic Theory: A Reply to James Fishkin,” *Critical Review of International Social and Political Philosophy*, Vol. 8, No. 1 (March, 2005), pp. 79-83.

“Problems and prospects for democratic settlements: South Africa as a model for the middle east and northern Ireland?” with Courtney Jung and Ellen Lust-Okar, *Politics and Society*, Vol. 33, No. 2 (June 2005), pp. 277-326.

“Concluding remarks,” in Axel Van den Berg and Hudson Meadwell, eds., *The Social Sciences and Rationality: Promises, Limits, and Problems* (University of Michigan Press, 2005).

“John Locke’s democratic theory,” in Ian Shapiro, ed., John Locke, *A Letter Concerning Toleration and Two Treatises of Government* (Yale University Press, 2003).

“The political science discipline: A comment on David Laitin’s proposal,” *Political Knowledge and the Public Interest*, ed. by Edward Mansfield and Richard Sisson (Ohio State University Press, 2003), pp. 47-53.

“Problems, methods, and theories in the study of politics, or: What’s wrong with Political Science and what to do about it” *Political Theory*, Vol. 30, No.4 (August 2002) pp. 588-611. Reprinted in Donald Moon and Stephen White, eds., *What is Political Theory?* (Berkeley, CA: Sage Publications, 2003) and in Ian Shapiro, Rogers Smith, and Tarek Masoud, eds., *Problems and Methods in the Study of Politics* (Cambridge University Press, 2004). Russian translation in Oleg Gabrielyan, ed., *Political Science in the Ukraine: State and Perspectives* (Crimea: Taurida Publishing House, 2003) pp. 253-78.

“Revisiting Democratic Justice: A reply to critics,” for a special issue symposium on my book in *The Good Society*, Vol. 11, No. 2 (2002), pp. 91-97.

“Optimal deliberation?” *Journal of Political Philosophy*, Vol.10, no. 2 (June 2002), pp. 196-211. Reprinted in Peter Laslett and James Fishkin, eds., *Philosophy, Politics, and Society: Debating Deliberative Democracy*, seventh series (Blackwells, 2002).

“The state of democratic theory,” in *Political Science: The State of the Discipline*, ed. by Ira Katznelson and Helen Milner (New York: W.W. Norton & Co. and Washington, D.C.: American Political Science Association, 2001) pp 235-65. Czech translation published as my contribution to Ian Shapiro and Jürgen Habermas, *Teorie demokracie dnes, Morální a politická filosofie svazek 10* (Prague: Nakladatelství Filosofické ústavu AV ČR, 2002), pp.23-78.

Russian translation published as a three part article in the 2001 volume of *Polis* in issues 3,4, and 5 respectively.

“Why the poor don’t soak the rich: Notes on democracy and distribution,” *Daedalus* Vol. 130, No. 4 ( Winter 2002), pp. 118-28.

“Democratic justice and multicultural recognition,” in David Held and Paul Kelly, eds., *Multiculturalism Reconsidered* (Polity Press, 2002).

“On the Normalization of South African Politics,” *Dissent* (January 1999), pp. 28-33.

“Enough of deliberation: Politics is about interests and power,” in *Deliberative Politics: Essays on Democracy and Disagreement*, ed. by Stephen Macedo (Oxford University Press, 1999), pp. 28-38.

“Can the rational choice approach cope with culture?” *P.S. Political Science and Politics*, Vol. 31, no. 1 (March 1998), pp. 40-43. Reprinted in Bernard Brown, ed., *Comparative Politics: Notes and Readings* (Homewood, Ill., Dorsey Press, 1999).

“Group aspirations and democratic politics,” *Constellations*, Vol. 3, No. 3 (January 1997), pp. 315-25. Reprinted in Ian Shapiro and Casiano Hacker-Cordón *Democracy’s Edges*, (Cambridge University Press, 1999), pp. 210-221 and in Harold Koh and Ronald Slye, eds., *Deliberative Democracy and Human Rights* (Yale University Press, 1999), pp. 143-56.

“Elements of democratic justice,” *Political Theory*, Vol. 24, No. 4 (November 1996), pp. 579-619. Spanish translation in *Doxa*, No. 20 (Madrid, 1997) pp. 331-76. A somewhat modified version was published as “Components of the democratic ideal,” in Albert Breton, Gianluigi Galeotti, Pierre Salmon and Ronald Wintrobe eds., *Understanding Democracy: Economic and Political Perspectives* (Cambridge University Press, 1997).

“South African democracy revisited: A reply to Koelble and Reynolds,” with Courtney Jung. *Politics and Society*, Vol. 24, No 3 (September 1996), pp. 237-47.

“Pathologies revisited: Reflections on our critics,” with Donald Green. *Critical Review* Vol. 9, Nos. 1-2 (Winter/Spring 1995), pp. 235-76. This symposium on *Pathologies of Rational Choice Theory* was republished as *The Rational Choice Controversy: Economic Models of Politics Reconsidered*, edited by Jeffrey Friedman (Yale University Press, 1996).

“Rational choice explanations of politics: Why has so little been learned?” With D. Green. Russian translation in *Polis* (September 1994), pp. 59-74, French translation in *Revue française de science politique*, Vol. 45, No. 1 (February, 1995), pp. 96-130, Italian translation in *Rivista Italiana di Scienza Politica* Vol. 25, No. 1 (April 1995), pp. 51-89, Spanish translations in *Foro Internacional*, Vol. XXXIV (July-September, 1994), pp. 363-403 [Mexico City] and in *Revista Internacional de Filosofía Política*, No. 5 (June, 1995) pp. 89-124 [Madrid]. Japanese translation in *Leviathan* Vol. 19 (Fall 1996), pp. 33-62. Extracted from *Pathologies of Rational Choice Theory*.



“South Africa’s negotiated transition: Democracy, opposition, and the new constitutional order,” with Courtney Jung. *Politics and Society*, Vol. 23, No. 3 (September 1995), pp. 269-308.

“Varieties of liberal ideology,” published in Russian in *Polis* (September 1994), pp. 17-21.

“Three ways to be a democrat,” *Political Theory*, Vol. 22, No. 1 (February, 1994), pp. 124-51. Japanese translation in *Hou To Seiji* [The Journal of Law and Politics], Vol. 42, No. 3 (September 1991), pp. 541-86. Russian translation in *Polis* (May 1992) pp. 75-85. Spanish translation published as a two part article in successive issues of *Claves: De Razón Práctica* (Madrid). Part I, “Democracia y métrica,” in No. 59 (Enero/Febrero 1996), pp. 14-23, part II, “Principios y método,” No. 60 (Marzo, 1996), pp. 32-41.

“Letter from South Africa,” *Dissent* (Spring 1994), pp. 171-77.

“Human nature and political philosophy,” *Routledge Encyclopedia of Philosophy*, ed by Edward Craig (London: Routledge, 1999).

<https://www.rep.routledge.com/articles/thematic/human-nature/v-1>

“Democracy and Religious Freedom: A critique of Wisconsin v. Yoder,” with Richard Arneson, *NOMOS XXXVIII: Political Order*, ed. Ian Shapiro and Russell Hardin (NYU Press, 1996) pp. 365-411.

“The false promise of realist social theory,” in Kristen Monroe ed., *Empirical Political Theory* (University of California Press, 1997), pp. 166-87, with Alexander Wendt.

“Democratic innovation: South Africa in comparative context,” *World Politics*, Vol. 46, No. 1 (October 1993), pp. 121-50. Review Essay.

“Democracy and civil society,” published in Russian in *Polis* (September 1992). pp. 17-29, and reprinted in *Liberalism and the Preconditions for Democracy*, Vol. II, ed. by B. Kapustin (Gorbachev Foundation, Moscow, 1992), pp. 9-19 and in *East-West Dialogue of Cultures*, Vol. I (Kazakh State University, Alma-Ata 1992), pp. 232-45. Chinese translation in *Twenty-First Century*, No. 32 (December 1995), pp. 117-22.

“Notes toward a conditional theory of rights and obligations in property,” in Stephen Gottlieb, *Jurisprudence* (Michie, 1993) pp. 378-80.

“Workmanship revisited: Reply to Professor Murphy,” *Political Theory*, Vol. 20, No. 2 (May 1992), pp. 328-32.

“Democracy in the transition from communism to capitalism,” published in Russian in *Centaur* (October-December 1991), pp. 7-14 and reprinted in *Lectures in Political Science*, Vol. I, (Tallinn, Estonia, 1991) pp. 26-38.

“The difference that realism makes: Social science and the politics of consent,” *Politics and Society*, Vol. 20, No. 2 (June 1992), pp. 197-224, with Alexander Wendt.

“Impressions from the Soviet Union,” with Robert Dahl. *Dissent* (Summer 1991), pp. 342-45.

“Resources, capacities, and ownership: the workmanship ideal and distributive justice,” *Political Theory*, Vol. 19, No. 1 (February 1991) pp. 47-72. Expanded version in John Brewer and Susan Staves, eds., *Early Modern Conceptions of Property* (Routledge, 1995), pp. 21-42.

“J.G.A. Pocock’s republicanism and political theory: A critique and reinterpretation,” *Critical Review*, Vol. 4, No. 3 (Spring 1990), pp. 433-71. Adapted from chapter six of *Political Criticism*.

“Three fallacies concerning majorities, minorities and democratic politics,” in *NOMOS XXXII: Majorities and Minorities* ed. by John Chapman and Alan Wertheimer (New York University Press, 1990) pp. 79-125.

“Constructing Politics,” Review Essay of Roberto Unger, *Politics*, 3 vols. (Cambridge University Press, 1987) in *Political Theory*, Vol. 17, No. 3, (August 1989). pp. 475-82.

“Gross concepts in political argument,” *Political Theory*, Vol. 17, No. 1 (February 1989), pp. 51-76.

“A comment on John Harsanyi’s ‘Democracy, Equality and Popular Consent’,” in Ian Shapiro and Grant Reeher (eds.) *Power, Inequality and Democratic Politics*, (Westview Press) pp. 284-90.

“Richard Posner’s praxis,” *Ohio State Law Journal*, Vol. 48, No. 4 (December, 1987), pp. 999-1047.

“Stagflation and the New Right,” *Telos* No. 56 (Summer, 1983) pp. 5-39, with John Kane.

“Realism in the study of the history of ideas,” *History of Political Thought*, Vol. III, No. 3 (November, 1982), pp. 535-578.

“Fiscal crisis of the Polish state: genesis of the 1980 strikes,” *Theory and Society*, Vol. 10, No 4 (July, 1981), pp. 469-502.

### **Business School Cases**

[“Israel-Palestine,”](#) with Jaan Elias, Greg MacDonald, Jean Rosenthal and Nicholas Strong. Yale School of Management Case, November 16, 2018.

[“We don’t have to be Cuba, we can be Brazil: The pivotal role of business in South Africa’s transition to democracy,”](#) with Itumeleng Makgletla, Jean Rosenthal, and Greg MacDonald. Yale School of Management Case # 13-010

[“Military contracting,”](#) with Zachary Schlesinger and Jean Rosenthal. Yale School of Management Case # 16-012.

[Zerit,](#) with Jaan Elias and Jean Rosenthal. Yale School of Management Case # 17-011

### **Working papers & work in progress**

“Democratic competition: The good, the bad, and the ugly,” with Frances Rosenbluth

[“Business and the South African transition,”](#) with Itumeleng Makgletla.

“Negative Freedom and the Cold War,” with Alicia Steinmetz

“The new authoritarianism and social choice,” with David Froomkin

### **Op Eds and Occasional Pieces**

[“Underwriting the Peace Dividend: Colombian Business after the Plebiscite,”](#) with Nicolas Jimenez and Nicholas Strong, *Forbes* on line (October 26, 2016).

[“Beyond the Two-State Solution,”](#) *Project Syndicate* (project-syndicate.org. March 3, 2016)

[“Debt Ceiling Redux?”](#) *YaleGlobal Online* (yaleglobal.yale.edu. February 20, 2014)

[“Consols could avert another debt-ceiling crisis.”](#) *The Washington Post* (washingtonpost.com. November 14, 2013)

[“The Promise of Africa,”](#) *YaleGlobal Online* (yaleglobal.yale.edu. May 23, 2013)

[“Restoring European Growth,”](#) *Project Syndicate* (project-syndicate.org. December 27, 2011)

[“Better Ways to End Prison Overcrowding Than Just Releasing Inmates,”](#) *The Christian Science Monitor* (June 3, 2011). Excerpt on *Questia* (questia.com)

[“Billions in Giveaways to a Tiny Group,”](#) *The New York Times* (nytimes.com. December 16, 2010)

[“Containment, not Appeasement,”](#) *Project Syndicate* (project-syndicate.org. December 29, 2006); *YaleGlobal Online* (yaleglobal.yale.edu. January 17, 2007)

[“A Model That Pretends to Explain Everything,”](#) *The New York Times* (nytimes.com. February 26, 2000)

### **GRANTS, FELLOWSHIPS, MEMBERSHIPS, AND AWARDS**

2016. D.Litt, honoris causa. University of Ghana. March 2016.

2009. Elected Member, Council on Foreign Relations

2008. Elected Fellow. American Philosophical Society

2001. Charles A. Porter teaching prize, Yale Summer Program

2000-2002. Carnegie Scholar (\$100,000 award from Carnegie Corporation of New York for project on Democracy and Distribution in the United States)

2000. Elected Fellow, American Academy of Arts and Sciences

Spring 1999; Spring 1995. Visiting Fellow, Nuffield College, Oxford

1997-8. Visiting Scholar. Department of Political Studies, University of Cape Town

1997-8. Fellow, Swedish Collegium for Advanced Study in the Social Sciences, Uppsala.  
Declined

1991-92. Fellow in International Jurisprudence, New York University Law School

1988-89. Fellow, John Simon Guggenheim Memorial Foundation

1988-89. Fellow, Center for Advanced Study in the Behavioral Sciences, Palo Alto, California

1985. Leo Strauss Prize for the best Ph.D. dissertation in political philosophy awarded by the American Political Science Association

1982-3. Charlotte W. Newcombe Fellowship for doctoral research on ethical and religious values, Woodrow Wilson National Fellowship Foundation

1977. Evelyn Miller-Barstow Prize for the study of politics, University of Bristol, England.

## **EDITORIAL RESPONSIBILITIES**

Editorial Board, *Journal of Politics*, 2014-

Editorial Board, *The Journal of Moral Philosophy*, 2005-

Editorial Board, *Political Research Quarterly*, 2006-

Editorial Board, *Critical Horizons*, 2001-

Co-Editor (with Keith Darden), *Problems of International Politics* series for Cambridge University Press, 2006-

Editor, *Contemporary Political Theory* series for Cambridge University Press, 1996-

Editorial Board, *Rethinking the Western Tradition* series for Yale University Press. 1998-

Editor, *NOMOS*. 1991-2000

## **PROFESSIONAL RESPONSIBILITIES**

Vice-President, American Political Science Association. 2016-2017.

Vice President, American Society for Political and Legal Philosophy. 2013-15

Board of Advisors, public television series on “Great Negotiations in History.” 2005-

Consultant to UNDP project on “Accelerating Access to Justice” in developing countries. 2005-6.

Executive Committee, The Future of American Democracy Foundation. 2005-

Leo Strauss prize committee chair. For the best PhD dissertation in political philosophy. 2004

Section head, Normative Political Theory, 2001 American Political Science Association’s annual meeting, San Francisco

Council, American Political Science Association. 1995-07

Council, American Society for Political and Legal Philosophy. 1991-09

**Visiting Committees.** Cornell Government Department (February 2002); Stanford Political Science Department (April 2002; University of Pennsylvania Program on Philosophy, Politics, and Economics (April, 2004); Hebrew University Department of Political Science and International Relations (2007); Ohio State University International Studies (2007)

## **INSTITUTIONAL RESPONSIBILITIES AT YALE**

Henry R. Luce Director, The Whitney and Betty MacMillan Center for International and Area Studies at Yale, 2004-2019

Graduate School Executive Committee, 2016-17.

Dean’s Committee of Graduate Resources, 2015-2016

Elected to a three year term on the Faculty of Arts & Sciences Senate 2015-2018

Provost’s Committee on Academic Resources, 2012-2014

Chair, Department of Political Science, January 1999 – June 2005

Provost’s Standing Advisory and Appointments Committee for the Yale School of Management, 2002-10

Committee on Cooperative Research, 2000-03 (Chair, spring 2001; 2001-02 year; 2002-03 year)

Advisory Committee, Robert Wood Johnson Health Policy Scholars Program, 1998-2003

Director, Program on Ethics, Politics, and Economics, 1992-98; 2001-02; 2008-09

Advisory Committee, Center for Comparative Research, 2000-04

University Budget Committee, 1996-97

Committee on Yale College Education. Coordinating committee and chair of working group on social and international studies 2002-04

Chair, Advisory Committee on Investor Responsibility. 1996-07

Social Sciences Divisional and Tenure Appointments Committee, 1994-96

Provost's Advisory Committee on the Philosophy Department, 1995-06

Humanities Tenure Appointments Committee, 1993-94

Director of Graduate Studies, Department of Political Science, 1993-97

Publications Committee, Yale University Press, 1994-95, 1998-99

Executive Committee, Institution for Social and Policy Studies, 1994-2006; 2005-08; 2011-12

Executive Committee, Yale Concilium on International and Area Studies, 1992-97, 1998-2004

Associate Director, Southern Africa Research Program, 1990-94

## **INSTITUTIONAL FUNDRAISING**

As Director of the MacMillan Center in collaboration with Presidents Levin, Salovey, and members of the Yale development staff, instrumental in securing over \$160 million in grants, gifts, and pledges for international studies (2004-2015).

As Chairman of the Department of Political Science, in collaboration with President Levin and members of the Yale development staff, instrumental in securing over \$1.5 million in grants, gifts, and pledges for political science teaching and research.

As Director of the Program in Ethics, Politics and Economics, in collaboration with President Levin and members of the Yale development staff, instrumental in securing over \$2.7 million in grants, gifts, and pledges to support undergraduate teaching, lecture series, and publications.

Principal Yale Investigator for \$40,000 grant from the MacArthur Foundation to run conference on Economics, Values, and Organization with Louis Putterman and Avner Ben-Nir (1995-6).

## **TEACHING**

Power and Politics in Today's World, [Yale DeVane Lectures, fall 2019](#).

Business and Government after Communism. Graduate/Undergraduate/SOM seminars, Fall 2015; Fall 2016; Fall 2017; Fall 2018.

Philosophy of Science for the Social Sciences. Graduate seminar, spring 2013 with H el ene Landemore; spring 2015 and fall 2016 (solo)

Advanced Political Theory. Graduate seminar, fall 2012; with H el ene Landemore, fall 2013, fall 2014 (solo)

State and Society. School of Management core course. With Douglas Rae: spring 2013; spring 2014; spring 2015; spring 2016; spring 2017. With Mushfiq Mobarak: spring 2018; spring 2019, spring 2020.

Capitalism as a Political Order. Graduate/undergraduate seminar, fall 2011. With Douglas Rae

Non-domination as a Political Ideal. Graduate/undergraduate seminar, spring 2011, fall 2011

The Politics, Law, and Economics of Affirmative Action. Graduate/undergraduate/law seminar, fall 2010, spring 2012, spring 2013

An Introduction to the Study of Politics. Graduate seminar, fall 2006; fall 2007, fall 2008

Democracy in World Politics. Year long senior research seminar in International Studies major, 2006-07; 2007-08; 2008-09; 2010 calendar year

Democracy and Distribution. Graduate/Law/undergraduate seminar, with Michael Graetz. Spring 2001, fall 2002, spring 2005, spring 2006; spring 2009; fall 2009; fall 2013; Fall 2015; fall 2016; fall 2017; fall 2018.

The Moral Foundations of Politics. Undergraduate lecture course, spring 1994, fall 1994, fall 1996, fall 1998, fall 1999, fall 2000, fall 2001, spring 2003, spring 2004, spring 2005, spring 2006, spring 2007; spring 2008; spring 2010; spring 2011, spring 2012, fall 2012, fall 2013, fall 2014; spring 2016; spring 2017; spring 2018; spring 2019; spring 2020.

Issues in Democratic Theory. Graduate seminar, fall 2003, fall 2005; fall 2009

The Politics of Tax Policy. Graduate/Law School research seminar, spring 2004. With Michael Graetz

Political Science PhD prospectus seminar, fall 2000, fall 2001

South African Politics in Comparative Perspective. Graduate/undergraduate seminar, spring 2002. Co-taught with Jeremy Seekings

Democracy and its Critics. Undergraduate lecture course, spring 1999, spring 2000

Senior Essay Colloquium in Political Science, fall 1998, fall 2000, fall 2001

Democratic Statecraft. Year long undergraduate lecture course, 1995-6. Co-taught with David Apter, Robert Dahl, Rogers Smith and Norma Thompson

Democratization. Graduate/undergraduate seminar, spring 1996. With Leonard Wantchekon

Democratic Innovation. Graduate seminar, fall 1992

Democracy and Social Justice. Graduate research seminar, spring 1992, fall 1993, spring 1996, fall 1998, fall 1999, fall 2004

Classics of Ethics, Politics, and Economics. Introductory historical survey for Ethics, Politics, and Economics undergraduate major, spring 1991

Graduate seminar, spring 1991, spring 1999, spring 2000, fall 2003/spring 2004

Introduction to Political Philosophy. Undergraduate lecture course, spring 1990

Culture and Social Criticism. Junior and senior seminar for Ethics, Politics and Economics major, fall 1989, fall 1990

Foundations of Contemporary Political Theory. Undergraduate lecture course, fall 1984, summer 1985, summer 1986, fall 1986, summer 1987, fall 1987, summer 1988, summer 1989, summer 1990, summer 1991, summer 1992, spring 1993

Theories of Value in Economics and Politics since the Reformation. Graduate/undergraduate lecture course, spring 1985

Directed Studies, History and Politics. Interdisciplinary freshman course co-taught with faculty from History, Classics, Philosophy and English Departments, spring 1985, spring 1993

Crime and Punishment. Undergraduate lecture course, spring 1985, fall 1986, as seminar, summer 1987, summer 1988, summer 1989, summer 1990, summer 1993, summer 1994, summer 1995; spring 2002, spring 2003, fall 2004 (co-taught with Greg Huber), fall 2003 (with Casiano Hacker-Córdon)

New Work in Political Theory. Graduate research seminar, fall 1984, spring 1987

Contemporary Political Theory. Departmental seminar, spring 1987, spring 1988, spring 1990, spring 1992, fall 1994

Graduate Proseminar in Contemporary Political Theory. Co-taught with D. Apter and D. Rae fall, 1986, solo fall 1987, fall 1989, fall 1990, fall 1992, spring 1993, fall 2002

Naturalism and Political Theory. Graduate/undergraduate seminar, spring 1988

## **CONFERENCES PLANNED AND ORGANIZED**

The MacMillan Center, Yale University. February 16/17, 2017. Humanitarian intervention and the Responsibility to Protect. Two day workshop co-organized with Nicholas Sambanis.



The MacMillan Center, Yale University. November 2015. The Bretton Woods Agreement. One day workshop co-organized with Naomi Lamoreaux.

The MacMillan Center, Yale University. February 2014. The NATO Charter. One day workshop co-organized with Adam Tooze.

The MacMillan Center, Yale University. September 2012. One day workshop co-organized with Jane Calvert

The MacMillan Center, Yale University. December 2009. "Nationalism" one day workshop. Co-organized with John Dunn.

Department of Political Science, Yale University. October 27 - 28, 2006. "Representation and Popular Rule." Co-organized with Susan Stokes and Elisabeth Wood.

University of Cape Town and Yale Center for International and Area Studies. August 11-12, 2006 (Breakwater Lodge, Cape Town) and April 27-28, 2007 (Yale). Two-part conference on "After Apartheid: The Second Decade." Co-organized with Kahreen Tebeau.

Department of Political Science, Yale University. April 29-May 1, 2005. "Distributive Politics." Co-organized with Peter Swenson.

Department of Political Science, Yale University. November 12-14, 2004. "The Challenge of Contingency in the Social Sciences: A Conference in Honor of Robert Dahl."

Department of Political Science, Yale University. April 30 to May 2, 2004 . "Order, Conflict, and Violence." Co-organized with Stathis Kalyvas.

Department of Political Science, Yale University. October 3-4, 2003. "Identities, Affiliations, and Allegiances" Co-organized with Seyla Benhabib.

Department of Political Science, Yale University. April 3-6, 2003. "Crafting and operating institutions." Co-organized with Stephen Skowronek.

Department of Political Science, Yale University. December 6-8, 2002. "Problems versus Methods in the Study of Politics." Co-organized with Rogers Smith.

Department of Political Science, Yale University. March 30-April 1, 2001. "Rethinking Political Order: The Nation State in the Emerging World." Yale Alumni conference.

Department of Political Science, Yale University. November 12-14, 1999. "Democracy and Distribution." Co-organized with Adam Przeworski.

Department of Political Science and Yale Program on Ethics, Politics and Economics. February/March 1997. "Rethinking Democracy for a New Century."

Yale Program in Ethics, Politics and Economics. April 1996. "Economics, Values and Organization." Co-organized with Louis Putterman and Avner Ben-Nir.

Conference for the Study of Political Thought and Yale Program in Ethics, Politics and Economics. April 7-9, 1995. "Private Values and Public Life." Co-organized with Alan Ryan.

Yale Program in Ethics, Politics and Economics. April 7-8, 1984. "Ethics and the Social Fabric." Co-organized with David Schmitz.

Gorbachev Foundation, Moscow. May 25-29, 1992. "Liberalism and the Preconditions for Democracy." Co-organized with B. Kapustin.

American Society for Political and Legal Philosophy. Program chair for 1990 meeting on "Democratic Community." San Francisco, August 1990.

Department of Political Science, Yale University. May 1987. "Process and Outcome in Democratic Theory and Politics."